

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

5 NORTHSTAR FINANCIAL ADVISORS,  
6 INC., on Behalf of Itself and All Others  
6 Similarly Situated,

Case No. 08-cv-04119 LHK  
[PROPOSED] FINAL JUDGMENT

Plaintiff,

V.

SCHWAB INVESTMENTS; MARIANN  
9 BYERWALTER, DONALD F. DORWARD,  
WILLIAM A. HASLER, ROBERT G.  
10 HOLMES, GERALD B. SMITH, DONALD  
R. STEPHENS, MICHAEL W. WILSEY,  
11 CHARLES R. SCHWAB, RANDALL W.  
MERK, JOSEPH H. WENDER and JOHN F.  
12 COGAN, as TRUSTEES OF SCHWAB  
INVESTMENTS; and CHARLES SCHWAB  
13 INVESTMENT MANAGEMENT, INC.,

## Defendants.

WHEREAS, on August 8, 2011, the Court dismissed this case with prejudice.

18 IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED THAT JUDGMENT  
19 shall be and is hereby entered against Plaintiff Northstar Financial Advisors, Inc. and in favor of  
20 Defendants Schwab Investments; Mariann Byerwalter, Donald F. Dorward, William A. Hasler,  
21 Robert G. Holmes, Gerald B. Smith, Donald R. Stephens, Michael W. Wilsey, Charles R. Schwab,  
22 Randall W. Merk, Joseph H. Wender, and John F. Cogan, as Trustees of Schwab Investments; and  
23 Charles Schwab Investment Management, Inc.

24 | DATED: August , 2011

---

The Honorable Lucy H. Koh